

## FIELD OF THE INVENTION

This application is directed to an apparatus and method for separating and filtering particles and organisms from a high volume flowing liquid operating  
5 under low pressure, with an automatic back flushing self-cleaning system, with ultraviolet treatment of water and use of the device in a ballast water treatment system for ships.

## BACKGROUND OF THE INVENTION

10 The invention relates to a unit for separating and filtering particles and organisms from a high flowing liquid operating under low pressure with an automatic back flushing self-cleaning system aboard ships ballast  
15 water systems.

## Description of Prior Art

A separator is normally used to remove suspended solids particles from liquids having a different size and  
20 specific gravity. This is achieved by the liquid entering tangentially, setting up a circular flow creating a vortex then entering the separation chamber where the centrifugal action directs particles heavier than the liquid to the perimeter of the separation chamber. Sludge  
25 is collected in the lower chamber and bled through a sludge discharge pipe. The liquids free of particles are drawn out from the center of the separator and can exit in the bottom or the top of the unit. Simple controls regulate the balance of flow between clean liquid and  
30 sludge and maintain the system pressure required for sludge discharge.

A filter is used for the same purpose thus creating a higher-pressure loss making it difficult to use a filter device with high volume flowing liquids under low  
35 pressure, this is especially relevant for installations

aboard ships where space and weight constraints prevail.

#### SUMMARY OF THE INVENTION

It is an objective of the invention to provide a  
5 device that can separate and filter particles and  
organisms from a high volume flow of liquid under low  
operating pressure thereby reducing the size, weight of  
the device and thereby simplifying operational issues  
relating to the challenge of treating ballast water  
10 aboard ships.

Traditional filters require large space to be able  
to treat the necessary flow volume requirements, and, in  
addition, increase ballasting time required because of  
excessive back flushing cycles. Hydro-cyclones operate  
15 well without maintenance and work well removing particles  
down to a certain size and specific gravity, but not  
organisms because of their specific gravity, which is  
close to that of water.

This invention provides for, both the ease of use of  
20 a hydro-cyclone and the efficiency of a filter, in one  
device.

To this end the invention provides a device for  
separating and filtering particles and organisms from a  
high volume liquid flow operating under low pressure,  
25 with an automatic back flushing self-cleaning system,  
comprising:

a cone-shaped or cylindrical inlet chamber with  
an upper inlet/outlet pipe extending longitudinally  
through the center of the chamber;

30 a separation and filter chamber with a  
longitudinal filter element connected to the said upper  
back flushing inlet pipe;

a discharge chamber with a longitudinal lower  
outlet pipe connected to the said filter element in the  
35 center, separated from the separation and filter chamber

by a flow restrictor;

a tangential liquid inlet opening into said inlet chamber creating an circular flow increasing down towards the said separation and filter chamber where the liquid spins around the filter element, separating out larger particles towards the perimeter and filtering smaller particles and organisms as they follow the main flow towards the main outlet pipe in the bottom of the unit; and,

a backpressure valve installed on the main outlet pipe ensures sufficient backpressure to discharge the sludge discharge from the sludge chamber.

In a preferred embodiment, the apparatus and method includes a UV chamber for treating processed liquid in order to inactivate aquatic nuisance species including bacteria, microorganisms and pathogens.

The back flushing cycle is initiated by a pressure differential between the main inlet and the outlet. When the pressure differential reaches a preset level (typically, 30 mbar) across the filter/seperator chamber back flushing is initiated and controlled by a Programmable Logistic Computer (PLC).

The filter/separating device of this invention is particularly suitable for the use of removing particles and organisms aboard ship ballast water systems, to reduce sediment loads in the ballast tanks and to prevent the spreading of aquatic nuisance species. Ships use high flow low-pressure pumps, and the pump room is normally below sea level creating a backpressure in the sludge discharge system.

Other advantages and preferred features of the invention will become apparent from the following description of the preferred embodiments, given by way of no limiting example, with reference to the accompanying drawing.

## BRIEF DESCRIPTION OF THE DRAWING

A preferred embodiment of the invention has been chosen for detailed description to enable those having ordinary skill in the art to which the invention  
5 appertains to readily understand how to practice the invention and is shown in the accompanying drawing in which:

Fig. 1A is a schematic longitudinal section view of the filter/separator device in accordance with the  
10 invention.

Fig. 1B includes a perspective view and a section view of a wedge wire screen filter surface for filtering larger particles from a high volume, low pressure liquid flow.

15 Fig. 1C is a fragmentary perspective view of the construction of the filter element.

Fig. 1D is a schematic view of a modified inlet chamber having a cylindrical chamber.

20 Fig. 2A is a schematic view of the filter/separator device using main liquid for back flushing, and including a UV chamber for inactivating aquatic nuisance species in processed liquid.

Fig. 2B is a schematic view of the filter/separator device using clean pressurized liquid for back flushing  
25 and compressed air for air scrubbing.

Fig 2C is a schematic view of a combination of the operating components of Figures 2A and 2B.

Fig. 2D is a schematic view of the filter/separator device using the upper pipe of the device as outlet pipe  
30 and the lower pipe as back flushing pipe.

## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

Referring to Figure 1A of the drawing, the principal

components of the liquid separator and filter device (1) are inlet chamber (3), separation and filter chamber (4), sludge chamber (5), and longitudinally disposed upper outlet pipe (19), cylindrical filter element (11) and  
5 lower outlet pipe (10).

Fig. 1A shows the filter and separator device (1), where the high volume, lower pressure liquid inlet (2) is mounted tangentially to circular inlet chamber (3) which is, in turn, connected to the separation and filter  
10 chamber (4). The inlet chamber is designed to meet the least possible fluid flow resistance and directs pumped liquid (13) in a helical circular motion around the longitudinal upper outlet pipe (19) which passes through the center of the inlet chamber (3). The liquid (13)  
15 forms a circular spinning flow into and through the separation and filter chamber (14) without forming a vortex as in traditional hydro-cyclones. The liquid (13) accelerates in the inlet chamber (3) [which may be coned as in Fig 1A or parallel (cylindrical) as in figure 1D]  
20 and increases the centrifugal forces acting on the flowing fluid and entrained particles. Particles with higher specific gravity than that of the fluid are drawn to the coned wall (3a) and flow down to the inlet (4) of the separation and filter chamber. These particles follow  
25 the inner wall down through the opening (18) to the sludge chamber (5). At the same time, the smaller lighter particles will follow the liquid along the outer surface (11a) of the cylindrical filter element (11) that runs longitudinally through the center of the separation and  
30 filter chamber (14).

The filter/separator unit (1) has both upper (19) and lower (10) outlets to make it practical for the installation and to give more flexibility for the piping and installation work. When one of the outlets is being  
35 used the other can be used for back flushing as needed.

Compare Figures 2A and 2D. Both outlet pipes (19, 10) are installed with isolation valves (20, 21) that can be opened or closed manually or automatically. There are control valves installed on the two outlets that will  
5 control and maintain the pressure inside the unit to ensure automatic and continuous flow from the discharge chamber (5). The pressure inside the chamber has to be higher than the backpressure from the discharge..

The separation and filter chamber together with the  
10 sludge chamber (5) are built from one pipe with a flange in both ends and are built with a flow restrictor (6) that is coned downward into the sludge chamber (5) to reduce turbulence and back flows. The separation chamber's (4) length is dependent on the desired  
15 efficiency and flow capacity. Increased length will increase the time the liquid stays in the chamber and smaller particles get enough time to reach the perimeter of the separation chamber from the centrifugal forces.

The sludge chamber (5) receives sludge-like liquid  
20 through the opening (18) between the flow restrictor (6) and the wall of the pipe. The flow patterns are reduced when reaching the sludge chamber (5). Sludge is bled out through the sludge valve (9). The sludge valve (9) regulates the flow, and the internal pressure should be  
25 regulated to 3 -10 % of the main flow (13) depending on the amount of sludge in the discharge.

The outlet pipe (10) is connected to an end flange (8). The inner part of the outlet pipe (22) has the connection for the filter element (11).

30 The control valves (20, 21) on the outlet pipes (19, 10) maintain a constant pressure in the separation chamber (4) and maintaining enough internal pressure into the sludge chamber (5) to maintain a constant flow through the sludge valve (9).

35 Fig. 1B and Fig. 1C show enlarged parts of the

filter element (11), which is built by rings of wedge wire connected to an inner frame (16) that runs axial with the filter element (11), where the opening between the wedge wires are decided per specification. As  
5 illustrated in Fig. 1B, larger particles are stopped and smaller particles fall through the wedge. The filtering capacity of the wedge wire screen is set by length and diameter. The filter element is built around an inner frame (16) to achieve mechanical strength, and to smooth  
10 the filter surface on the outside. The opening between the wedge wire (17) is V-shaped, and can be between 10 to 500 micron. Particles larger than the opening (17) will slide down along the surface (11a) of the filter towards the flow restrictor (6) while particles smaller than the  
15 opening will penetrate through the filter. Because of the wedge wire V-shape the individual opening (17) expands inward towards the center of the filter element and avoids getting particles trapped in the slots between the wedge wires. The ends of the filter element (11) can be  
20 fitted with different fasteners to the filter separator unit outlet pipes (10, 19).

In normal operation (Fig. 2A), liquid flows to the filter separator from a pump (32) through a first control valve (22) controlling the inlet of liquids to the unit  
25 which is open during normal operation. A second control valve (23) controlling the back flushing liquid is closed during normal operation. A third control valve (24) controlling the outlet of treated (clean) liquids is also a backpressure valve, which is partly closed to create  
30 the necessary backpressure for the sludge flow during normal operation. A control valve (25) regulates the sludge discharge. A differential pressure transmittal connected across the filter/separator unit between P1 and P2 transmits data to the PLC, and when the preset  
35 pressure differential limit of 30 mbar is reached, back

flushing is initiated. Flow meter (26) and second flow meter (27) measure the flows in the main inlet line and the sludge line. The two flows are transmitted to a PLC, which converts the signal to a percentage of the main flow and are used for regulation of control valve 25. When a preset value (3-10%) is programmed into the PLC, the flow of the set value is maintained in the sludge line.

For back flushing and purging the filter screen, the control valves (22, 24) are closed during the back flushing. Second control valve (23) opens and sends untreated liquids through the center of the unit back flushing the particles out of the filter screen towards the discharge chamber. The back flushing cycle is set to a preset time limit, and when reaching the limit the system reverts to normal operation.

All valves are automated and operated by a PLC.

A modified embodiment of the invention is shown in Fig 2B. In normal operation of this modified embodiment, liquid flows to the filter separator from a pump (32) and first control valve (22) controlling the inlet of liquids to the unit is open during normal operation.. Fifth and sixth control valves (28, 30) controlling the back flushing liquid 29 and compressed air 31 are closed during normal operation. The third control valve (24) controlling the outlet of treated (clean) liquids is also a backpressure valve, which is partly closed to create the necessary backpressure for the sludge flow during normal operation. A control valve (25) regulates the sludge discharge. A differential pressure transmittal connected across the filter/separator unit between P1 and P2 sends data to the PLC, and when the preset level (30mbar) is reached, back flushing is initiated.

For alternate back flushing, the first and third control valves (22, 24) close during back flushing. The



fifth control valve (28) controlling a pressurized back flushing liquid tank (29) opens and clean liquids flow through the center of the unit back flushing the particles out of the filter screen towards the discharge chamber. The sixth control valve (30) controlling a pressurized air tank (31) opens towards the end of the back flushing cycle for air scrubbing the filter element (11). The back flushing cycle is set to a preset time limit, when reaching the limit, the system reverts to normal operation.

All valves are automated and operated by a PLC.

As shown in Fig. 2C, the system can be configured to include both of the embodiments of Figs. 2A and 2B set forth above. Raw water is used for back flushing if it is sufficiently clean. Alternatively, clean water is used.

The filter separator of this invention can be installed in every angle from a vertical to a horizontal position, but an angle of minimum 10 degrees towards the discharge is recommended. In a horizontal position and up to a position of 20 degrees, a continual bleeding of the sludge discharge is required. From a position of 20 degrees up to a vertical position the sludge discharge can be emptied according to need.

The hydro-cyclone part of the filter separator can be built in any material suited for the application. Standard materials are epoxy coated carbon steel, stainless steel, copper nickel, GRE (glass reinforced epoxy) and GRP (glass fiber reinforced polyester). Glass fiber reinforced polypropylene is recommended for use in seawater. This material is strong, light, and can easily be shaped to desired design. For the installation of larger flow systems aboard ships the hydro-cyclone can be built in several parts for easier access to the installation area. Other relevant materials are stainless steel or titanium.

The filter separator is developed to work with low pressure pumps and for high flows up to 1000 m<sup>3</sup>/h depending on filter rate. The filter separator is installed with a backpressure valve to enable it to maintain sludge discharge when installed under sea level. With the control valve installed on the outlet line a constant differential pressure is created to ensure a constant flow from the discharge chamber. No other separator has such a valve installed on the discharge and has higher demands for pressure loss.

The use of filter separator with UV in ballast water systems is shown in Figure 2A. The filter separator removes particles and organisms to a specified micron size and the UV kills or inactivates the organisms, bacteria and pathogens in ballast water. Each microorganism must absorb a specific UV dose to be destroyed. The UV penetrates the bacteria wall and is absorbed by the DNA and consequently destroys life and prevents reproduction. The Microkill UV is designed for efficient inactivation of organisms with a very low-pressure drop to meet the requirements of ballast systems and pumps. UV light, when used in the wavelength ranging from 215-315 nm (nanometer) the UV-C spectrum causes irreparable damage to the DNA in bacteria and microorganisms. The most potent and effective wavelength for damage of the DNA is 253.7 nm.

In accordance with the system process, ballast water is pumped by the ballast pump into the filter separator where larger particles and organisms are removed and discharged overboard where the ballast water originates. The processed ballast water flows into the UV system where the organisms; bacteria etc. are killed or inactivated when the DNA is damaged. From the UV system the ballast water is distributed into the different ballast tanks aboard the ship.

When ballast water is discharged in a receiving port, the water is pumped through the DV system a second time but bypasses the filter separator to avoid a handling problem of the sludge.

5        Various changes may be made to the equipment arrangements embodying the principles of the invention. The foregoing embodiments are set forth in an illustrative and not in a limiting sense. The scope of the invention is defined by the claims appended hereto.

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